

11/09/01
JC962 U.S. PTO

01-23-02

Please type a plus sign (+) inside this box [+]

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

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UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 042390P7196D
(maximum 12 characters)

First Named Inventor Glen Wada et al.

Title: METHOD FOR MAKING FLASH MEMORY WITH UV OPAQUE PASSIVATION LAYER

Express Mail Label No. EL 672 746 632 US

ADDRESS TO: **Assistant Commissioner for Patents**
Box Patent Application
Washington, D. C. 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. **Fee Transmittal Form (e.g., PTO/SB/17)**
(Submit an original, and a duplicate for fee processing)
2. **Applicant Claims Small Entity Status. (37 CFR 1.27)**
3. **Specification (Total Pages 13)**
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. **Drawings(s) (35 USC 113) (Total Sheets 1)**
5. **Oath or Declaration (Total Pages 4)**
 - a. Newly Executed (Original or Copy)
 - b. Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 18 completed)
 - i. **DELETIONS OF INVENTOR(S)** Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
 - c. Unsigned.
6. **Application Data Sheet. (37 CFR 1.76)**
7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & documents(s))
10. a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)
 b. Power of Attorney
11. English Translation Document (if applicable)
12. a. Information Disclosure Statement (IDS)/PTO-1449
 b. Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. Other: Express Mail Certificate of Mailing

18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation Divisional Continuation-in-part (CIP)
Of Prior Application No.: 09/330,257 Examiner Chen, J. Group Art Unit 2813
(which is a continuation/ divisional/ CIP of prior application no. _____,
which is a continuation/ divisional/ CIP of prior application no. _____) (List entire chain of priority)

Applicant(s): Also include a Preliminary Amendment to amend the specification to claim priority.
For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18B. Statement under 37 CFR 3.73(b) for continuing application:

The undersigned states that _____ (name of assignee) is the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an assignment recorded in the Patent and Trademark Office at Reel. No. _____ Frame No. _____ (or a copy of which is attached).

19. Correspondence Address

Customer Number or Bar Code Label _____
or _____ (Insert Customer No. or Attach Bar Code Label here)

Correspondence Address Below

NAME Michael A. Bernadicou

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

ADDRESS 12400 Wilshire Boulevard

Seventh Floor

CITY Los Angeles STATE California ZIP CODE 90025-1026

Country U.S.A. TELEPHONE (408) 720-8300 FAX (408) 720-9397

Name (PRINT/TYPE): Michael A. Bernadicou Registration No.: 35,934

Signature: Michael A. Bernadicou Date: 11/9/01

FEE TRANSMITTAL FOR FY 2002

TOTAL AMOUNT OF PAYMENT (\$) \$740.00

Complete if Known:

Application No. Not yet assignedFiling Date Not yet assignedFirst Named Inventor Glen Wada et al.Group Art Unit Not yet assignedExaminer Name Not yet assignedAttorney Docket No. 042390P7196D

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666
Deposit Account Name _____ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed: Check
 Money Order
 Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity					
Fee	Fee	Fee	Fee			
Code	(\$)	Code	(\$)	Fee Description		Fee Paid
101	740	201	370	Utility application filing fee		<u>\$740.00</u>
106	330	206	165	Design application filing fee		
107	510	207	255	Plant filing fee		
108	740	208	370	Reissue filing fee		
114	160	214	80	Provisional application filing fee		
						SUBTOTAL (1) <u>\$ 740.00</u>

2. <u>EXTRA CLAIM FEES</u>		Fee from below	Fee Paid
		Extra Claims	
Total Claims	<u>7</u>	<u>- 20** = 0</u>	X <u>\$18.00</u> = _____
Independent Claims	<u>1</u>	<u>- 3** = 0</u>	X <u>\$84.00</u> = _____
Multiple Dependent			<u>\$280.00</u> = _____

**Or number previously paid, if greater; For Reissues, see below.

Large Entity	Small Entity					
Fee	Fee	Fee	Fee			
Code	(\$)	Code	(\$)	Fee Description		
103	18	203	9	Claims in excess of 20		
102	84	202	42	Independent claims in excess of 3		
104	280	204	140	Multiple dependent claim, if not paid		
109	84	209	42	**Reissue independent claims over original patent		
110	18	210	9	**Reissue claims in excess of 20 and over original patent		
						SUBTOTAL (2) <u>\$ 0.00</u>

FEE CALCULATION (continued)
3. ADDITIONAL FEES

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee Code	Fee (\$)	Fee Code (\$)	
105	130	205	65
127	50	227	25
139	130	139	130
147	2,520	147	2,520
099	8,800	099	8,800
112	920*	112	920*
113	1,840*	113	1,840*
115	110	215	55
116	400	216	200
117	920	217	460
118	1,440	218	720
128	1,960	228	980
119	320	219	160
120	320	220	160
121	280	221	140
138	1,510	138	1,510
140	110	240	55
141	1,280	241	640
142	1,280	242	640
143	460	243	230
144	620	244	310
122	130	122	130
123	50	123	50
126	180	126	180
581	40	581	40
146	740	246	370
148	110	248	55
149	740	249	370
179	740	279	370
169	900	169	900
195	300	195	300
196	300	196	300
194	130	194	130
098	130	098	130
091	1,280	091	1,280

Other fee (specify) _____

Other fee (specify) _____

SUBTOTAL (3) \$ 0.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: Michael A. Bernadicou

 Signature: Michael A. Bernadicou Date: 11/7/01

Reg. Number: 35,934 Telephone Number: (408) 720-8300

EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number: EL672746632US

Date of Deposit: November 9, 2001

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

Teresa Edwards
(Typed or printed name of person mailing paper or fee)

Teresa Edwards
(Signature of person mailing paper or fee)

November 9, 2001

(Date signed)

Serial/Patent No.:	<u>Not yet assigned</u>	Filing/Issue Date:	<u>Herewith</u>
Client:	<u>Intel Corporation</u>		
Title:	<u>METHOD FOR MAKING FLASH MEMORY WITH UV OPAQUE PASSIVATION LAYER</u>		
bstz File No.:	<u>042390P7196D</u>	Atty/Secty Initials:	<u>MAB/MVS/te</u>
Date Mailed:	<u>November 9, 2001</u>	Docket Due Date:	<u>***</u>
The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:			
<input checked="" type="checkbox"/> Express Mail No. <u>EL672746632US</u> <input checked="" type="checkbox"/> Check No. <u>46381</u>			
<input type="checkbox"/> <u>Month(s)</u> Extension of Time Amt <u>\$740.00</u>			
<input checked="" type="checkbox"/> Information Disclosure Statement & PTO-149 (<u>4</u> pgs) <input type="checkbox"/> Check No. _____			
<input type="checkbox"/> Issue Fee Transmittal Amt _____			
<input type="checkbox"/> Notice of Appeal			
<input type="checkbox"/> Petition for Extension of Time			
<input type="checkbox"/> Petition for _____			
<input checked="" type="checkbox"/> Postcard			
<input checked="" type="checkbox"/> Power of Attorney (<u>4</u> pgs)			
<input checked="" type="checkbox"/> Preliminary Amendment (<u>2</u> pgs)			
<input type="checkbox"/> Reply Brief (<u> </u> pgs)			
<input type="checkbox"/> Response to Notice of Missing Parts			
<input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business			
<input type="checkbox"/> Transmittal Letter, in duplicate			
<input checked="" type="checkbox"/> Fee Transmittal, in duplicate			
<input checked="" type="checkbox"/> Other: <u>Specification, Figures and DPOA as originally submitted</u>			
<u>June 10, 1999, Formal Drawings Submission, Express Mail</u>			
<u>Certificate of Mailing</u>			
<u>Copied of Cited Art</u>			

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